

AI for U&I

OPERATIONAL AI GOVERNANCE ADVISORY

Governance that holds up under audit, regulation and board scrutiny.

Independent advisory across AI governance, technology risk and enterprise GRC, for boards, executives and public-sector leaders in regulated and high-assurance organisations.

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Founder

AI Governance · Technology Risk · GRC

THE GOVERNANCE GAP

The frameworks are not the hard part.

AI adoption is accelerating. Governance is struggling to keep pace. Organisations are now expected to operationalise a widening set of obligations at once.

EU AI Act

NIST AI RMF

ISO 42001

DORA

Existing technology risk programmes

Existing enterprise GRC programmes

The challenge is rarely understanding these frameworks. It is translating them into governance structures, operational workflows, ownership models, evidence, and audit-ready implementation. That translation, from adopted framework to governance that functions in practice, is where AI for U&I focuses.

FOCUS AREAS

What we help organisations build

AI Governance	Technology Risk	Third-Party Risk	Audit Readiness
AI governance operating models	Control design and testing	Vendor risk governance	Evidence architecture
Ownership and accountability	Risk assessment programmes	AI vendor assessment	Control mapping
Risk classification and tiering	Risk appetite and thresholds	Lifecycle monitoring	Governance documentation
Decision and approval structures	Remediation roadmaps	Due diligence structures	Board and committee reporting
Regulatory alignment	Assurance reporting	Concentration and exit risk	Pre-audit assessment

ENGAGEMENT TYPES

Typical engagements

AI Governance Operating Model design

NIST AI RMF implementation

EU AI Act readiness

AI risk assessment

Vendor AI risk governance

Board and committee governance

Evidence architecture

Governance documentation

Audit readiness assessment

Control mapping and gap analysis

Engagements are scoped to a specific governance need rather than a fixed template, and sized from a focused advisory engagement through to an operating-model redesign or a longer programme.

OUR APPROACH

The OPERA Methodology

Five sequential decisions, from the real opportunity behind a request to the assurance evidence that outlasts it. Each stage has a named owner, a defined output, and a defined form of evidence.



OPERA is not a replacement for NIST AI RMF, ISO 42001, or the EU AI Act. It is the operational layer underneath them, the structure that turns an adopted framework into governance that runs.

DELIVERABLES

Governance deliverables

Structure

AI Governance Charter

Governance Operating Model

RACI and decision rights

Policy framework structure

Risk & Decisions

Risk Register

Decision Register

AI Inventory

Control Mapping

Assurance

Evidence Repository

Governance Dashboard

Audit Pack

Board reporting pack

Every engagement is designed to leave the organisation with something it owns and can operate without outside help, an operating structure, not a report that sits on a shelf.

EXPERIENCE

Thirteen years inside the governance function

The judgment behind AI for U&I was built running technology risk, cyber risk, GRC, third-party risk and audit readiness programmes across banking, life sciences, and professional services.

Experience built across banking, pharmaceuticals, professional services, and enterprise technology environments.

PwC

JPMorgan Chase

Wells Fargo

Viatris

300+

Business-critical applications assessed for control gaps and remediation

230+

Third-party relationships governed across the full lifecycle

35%

Residual risk exposure reduced in a single control programme

FRAMEWORKS WE OPERATIONALISE

Selected frameworks

EU AI Act Risk-tiered obligations for AI in the EU market	NIST AI RMF Lifecycle AI risk management	ISO 42001 AI management system standard
ISO 27001 Information security management	NIST CSF Cyber security risk framework	GDPR EU data protection
PCI DSS Payment card data security	SOC 2 Trust services criteria	DORA Digital operational resilience

Provided for context. Specific regulatory obligations should be confirmed with qualified legal counsel.

PUBLISHED RESOURCES

Practitioner resources



A growing library of practitioner frameworks, playbooks and perspectives, developed from live advisory work and published at aiforui.org.

HOW WE WORK

Engagement models

Fractional AI Governance

Ongoing, part-time governance leadership for organisations that need senior direction without a full-time hire.

Governance Transformation

A defined governance build, from operating model through to evidence architecture, delivered to a fixed scope.

Board Advisory

Governance perspective for boards and committees on AI oversight, risk appetite, and accountability.

Independent Assessments

An objective review of governance maturity against framework and operational reality.

Readiness Reviews

Preparation ahead of a named regulation, audit, or supplier assessment.

CONTACT

Start a Conversation

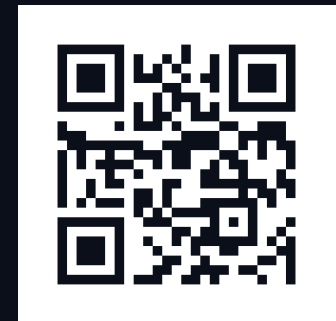
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